

## JAVA RUN-TIME SYSTEM WITH MODIFIED LINKING IDENTIFIERS

### FIELD OF THE INVENTION

5 The present invention relates to bytecode download and linking performance in resource constrained Java run-time environments, such as JavaCards.

### BACKGROUND OF THE INVENTION

10 Since chip cards were introduced for public telephones in the 1980s, the chip card business has been rapidly growing with respect to their economic and technological aspects. Former simple storage chip boards evolved to modern smart cards with their own microprocessor and operation system. The integrated microprocessor allows smart cards to operate independently and not be influenced by their environ-  
15 ment. Such smart cards are able to execute cryptographic algorithms or check passwords locally before releasing data stored on the smart card. Therefore, electronic cash transactions can be performed without the need for an expensive online connection to host systems. Another important area for applications of smart cards is consumer electronics. Mobiles and set-top-boxes have already adopted smart card technology.  
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One of the major obstacles impeding the propagation of smart cards is the fact that the operating systems used in smart cards are mainly proprietary solutions of the card manufacturers. Moreover, on conventional smart cards no clear distinction  
25 between the operating system and the applications is made, resulting in time-consuming and expensive developing processes.

In order to handle these problems, Java technology has been applied on smart cards. Java is a programming language and an environment developed by Sun Microsys-  
30 tems, Inc. 2550 Garcia Ave., Mountain View, CA 94043-1100, U.S.A.; the term "Java" is a trademark of this company.

The Java programming language is a high-level language which can be character-  
35 ised as architecture-neutral. Java programming language is both compiled and interpreted. With a compiler, a Java source code is first translated into an intermediate code, so-called Java bytecode. The bytecode instructions combined by the Java compiler in a so-called class file are interpreted by an interpreter on a Java platform.

The Java platform, so-called Java virtual machine (Java VM) is a software-only platform that runs on top of other hardware-based platform such as Windows, Linux and MacOS. The Java interpreter is an implementation of the Java VM on the specific hardware-based platform of a computer and runs each bytecode instruction of a class file on the associated computer.

Since Java is an interpreted programming language defined independently of a particular hardware basis and featuring high-level language operations, it is extremely well-suited for use in devices with an embedded microcontroller, such as smart cards. However, due to a size constraint of the embedded microcontroller in smart cards, in particular with respect to its memory space, neither the full Java language set nor the complete execution and loading performance as defined for Java on PCs can be sustained in smart cards.

Therefore, it is a common technique to convert the regular PC-oriented Java class file format into a format being suitable for loading and execution on embedded microcontrollers. Such a technique for smart cards is known as JavaCard using a subsetting Java interpreter for embedded microcontrollers. According to JavaCard technology, Java standard class files are pre-processed and converted in a so-called cap file format. The generated cap files contain a much more compact representation of run-time information about the classes and of the bytecodes.

A space-efficient format in Java cap files should especially address the representation of linking information on the embedded microcontroller of the smart card. Linking information is used to specify the location of items which are exported to and addressable by downloaded bytecode instructions. The bytecode instructions may contain references which name target items to which they refer. In standard Java class files, the references to be linked are grouped in a table, so-called constant pool, wherein the references refer to their target items by using symbolic linking strings encoded in Utf8. During a downloading and linking process, the interpreter of the Java VM looks up the target items referred to in the bytecode instructions by name and replaces the references then with corresponding run-time specific identifiers, typically addresses. According to standard Java procedures, the symbolic linking strings stored in the constant pools of the Java class files are kept in an export table on the Java VM during execution of the Java program to enable a linking of class files being downloaded at a later stage against already downloaded class files. This standard Java loading and linking mechanism is, however, not

applicable to embedded microcontroller environments, in particular not to smart cards, because the export table of the Java VM which has to store references as symbolic linking strings would take up an excess of memory space.

Thus, in JavaCard technology, the cap file format which is pre-processed and converted from standard Java class file format uses a compact representation of linking information. In JavaCard cap files, references to be linked are stored in the constant table as short integers, so called tokens, which are offsets, indices or addresses dependent on the type of target item to be referred to. The mapping of the symbolic linking strings of the constant pool of standard Java class files to the tokens in the constant pool of JavaCard cap files is carried out by the cap file generator during the conversion step by using a mapping table, a so-called export file. This export file includes information for the cap file generator how to map the symbolic linking strings for target items to the corresponding tokens. During a downloading and linking process of a JavaCard cap file, the interpreter of the JavaCard VM looks up the references in the bytecode instructions by the tokens stored in the constant table and replaces them by associated run-time specific identifiers. The tokens of the constant table of the cap file are stored by the JavaCard VM in an export table during execution to allow for cap files being downloaded later to be linked against already downloaded cap files.

By using an additional export file in the cap file generator to map symbolic linking strings of standard Java class files to tokens in JavaCard cap files, there is a risk of an incorrect export file resulting in a cap file containing incorrect tokens. In consequence, during the linking process the tokens of the cap file may be replaced by wrong run-time specific identifiers causing an incorrect behaviour of the Java program executed on the embedded microcontroller. Further, the need of a mapping procedure of symbolic linking strings to tokens leads to a complicated conversion process of standard Java class files into JavaCard cap files.

#### SUMMARY OF THE INVENTION

According to the present invention, a Java run-time system downloads and links a Java program containing Java bytecode instructions, Java class structures and standard Java symbolic linking strings by mapping the standard Java symbolic linking strings onto linking identifiers to be stored in an export file, such as an

export table, wherein the linking identifiers are suitable to bind references in bytecode instructions to be executed to corresponding link targets.

5 This inventive downloading and linking concept is especially suitable for resource  
constrained Java run-time systems being ported on embedded microcontrollers of  
smart cards. According to the invention the linking information is not stored in the  
original Java format but as tokens which are computed by the Java run-time system  
at installation time. This procedure saves a substantial amount of memory space on  
10 embedded microcontrollers. Moreover, in contrast to the downloading and linking  
procedure according to standard JavaCard technology the cap files for an applica-  
tion or a library on a resource constrained Java run-time environment can be  
pre-processed without an additional converting step by the cap file generator to map  
the standard Java symbolic linking strings of the constant pool to tokens. In conse-  
15 quence, no additional linking information such as export files is necessary to trans-  
late standard Java class files to Java cap files. According to the inventive concept  
the downloading and linking process is performed by using the original full  
symbolic linking strings of Java class files to avoid errors which may take place  
when replacing this symbolic linking strings by tokens in the pre-processed cap  
20 files. In summary, the inventive concept combines the run-time space efficiency of  
standard JavaCard technology with the high flexibility of standard Java linking  
scheme enabling an effective and reliable linking performance.

25 In a preferred embodiment a converter of the Java run-time system is adapted to use  
a parameterized hash function to map the standard Java symbolic linking strings on  
linking identifiers, the parameter for the hash function being contained in the  
downloaded Java program. The use of a hash function ensures an effective mapping  
of long Java symbolic linking strings onto short tokens, such as numbers. The use  
of a parameter for the hash function guarantees that different symbolic linking  
30 strings are never mapped to the same token.

35 Embodiments of the present invention advantageously achieve an efficient linking  
in a resource constrained Java run-time environment. Embodiments of the present  
invention also achieve a space and run-time efficient linking in such a resource  
constrained Java run-time environment. Furthermore, embodiments of the present  
invention make conversion of a standard Java class file into JavaCard cap file as  
easy as possible. Further advantageous arrangements and embodiments of the  
invention are set forth in the claims.

## BRIEF DESCRIPTION OF THE DRAWINGS

Preferred embodiments of the present invention will now be described, by way of example only, with reference to the accompanying drawings, in which:

Fig. 1 is a schematic diagram illustrating the downloading linking and performance by a standard JavaCard run-time environment;

Fig. 2 is a schematic drawing illustrating the downloading and linking performance by the herein proposed adapted JavaCard run-time system;

Fig. 3 is a block diagram of a Java run-time system embodying the present invention;

Fig. 4 is a block diagram of a Java development kit embodying the present invention; and,

Fig. 5 is a flow chart illustrating an example of method for downloading and linking Java program in accordance with the present invention.

## DESCRIPTION OF PREFERRED EMBODIMENT

With general reference to the Figures and with special reference to Fig. 2 the essential steps of a preferred embodiment of the invention are explained in detail. Hereby reference is made to Java technology. It is assumed that a person skilled in the art is familiar with the basic mechanism of Java programming language and Java virtual machine (Java VM). Moreover, the present description deals with details of a subsetting Java VM herein referred to as JavaCard VM which is in particular suitable for embedded microcontrollers on smart cards.

Due to a size constraint on embedded microcontrollers, JavaCard technology uses only a subsetting JavaCard language and a subsetting Java interpreter. As shown in Fig. 1, compiled standard Java programs including Java bytecodes, so-called Java class files, are converted into a subsetting Java file format 10, so-called Java cap file

format, which is suitable for being downloaded and executed on embedded microcontrollers.

JavaCard cap files 10 are divided into sections. The first section, the code section 20, contains run-time information about the class, its fields and methods, and bytecode instructions. The code section 20 references all symbols (classes, methods, etc.) those actual addresses are not known before linking time via an offset into the second section 30 of the cap file, so-called constant pool.

In standard class files, the constant pool 30 groups all the references to be linked by using symbolic linking strings 80 encoded in Utf8. For example, a symbolic linking string “Java/lang/object” refers to a class with the name “object” in a Java package with the name “Java/lang”. The code section 20 and the constant pool 30 of the standard class files provide sufficient information for execution on a Java VM 50 which is ported on an embedded microcontroller of a smart card. During the linking process, an interpreter looks up the referenced items in the constant pool 30 by name and replace the unresolved locations, i.e. the not yet to machine representation converted locations, with corresponding run-time specific identifiers. The symbolic linking strings 80 of the constant pool 30 are stored during execution in an export table 40 of the Java VM 50 to allow for class files which are downloaded later to be linked against a previously downloaded class file.

Since the symbolic linking strings 80 used in the constant pools 30 of standard Java class files take up a large memory space in JavaCard cap files a much more compact representation of these symbolic linking strings 80 is employed. As shown in Fig. 1, the standard symbolic linking strings 80 of the constant pool 30 of the class file are mapped by a cap file generator to short integers, so-called tokens 60. The mapping of the symbolic linking strings 80 of the standard constant pool 30 to these tokens 60 is carried out by the cap file generator with the help of an additional file 70, so-called JavaCard export file, to assign the symbolic linking strings 80 to the corresponding tokens 60.

At the downloading and linking time the JavaCard VM 50 combines the references in downloaded bytecode instructions to the link targets 90 by mapping the tokens 60 grouped in the tokenized constant pool 35 of the JavaCard cap file 15 to the corresponding link targets 90. The tokens 60 of the tokenized constant pool 35 are kept during execution in an export table 40 of the JavaCard VM 50 to ensure that cap

files which are downloaded at a later stage may be linked against already downloaded classes.

The downloading and linking performance of standard JavaCard technology with a tokenized constant pool 35 allows a compact storage of the tokens 60 on the embedded microcomputer which ports the JavaCard VM 50. However, the need of an additional export file 70 to assign standard Java symbolic linking strings to corresponding tokens does not provide enough flexibility of allowing different implementations of cap file generators. All the tokenized cap files 15 which are downloaded and linked on a specific JavaCard VM 50 have to be processed with the same export file 70, otherwise the behaviour of a Java application on the embedded microcontroller is unpredictable. This also applies if an incorrect export file 70 is used.

In preferred embodiments of the present invention, the run-time space efficiency of standard JavaCard technology is combined with the flexibility of the standard Java linking scheme. Referring to Figure 2, in preferred embodiments of the present invention, standard Java class files of a Java program are pre-processed and converted by a cap file generator to JavaCard cap files 10, wherein constant pools 30 contain the original Java symbolic linking strings for externally and internally referenced items. Further, an adapted JavaCard VM 55 is used including an additional converter 100. During the downloading and linking process, the converter 100 of the adapted JavaCard VM 55 executes a mathematical algorithm, so-called hash function, on the standard symbolic linking strings 80 of the constant pool 20 of the downloaded cap file 10. The hash function 100 generates, for each symbolic linking string 80, a short token 65, preferably a number. These tokens 65 are used to replace the symbolic linking strings 80 in the constant pool 20 of the cap file 10 and are further stored in the export table 40 of the adapted JavaCard VM 55. During the linking process the adapted JavaCard VM 55 looks up the referenced items in the bytecode instructions by the tokens 55 generated with the hash function and then replaces these references by the corresponding run-time specific identifiers.

Whenever a further cap file 10 is downloaded by the adapted JavaCard VM 55, its symbolic linking strings 80 are converted on the fly by applying a respective hash function in the converter 100 to generate associated tokens 65. By using these tokens 65, the appropriated linking information for the bytecode instructions can be

found and the associated run-time specific identifiers can be inserted.

In preferred embodiments of the present invention, the converter 100 of the adapted JavaCard VM 55 employs a parameterized hash function to map the symbolic linking strings 80 of the constant pool 20 of the cap file 10 to associated tokens 65. This parameter is preferably calculated by the cap file generator, i.e. the Java compiler, which translates the Java source file in the corresponding cap file format 10 suitable for downloading and executing on embedded microcontrollers. The parameter which is stored in the constant pool 20 of the cap file 10 to be downloaded on the adapted JavaCard VM 55 is used by the parameterized hash function to avoid generation of the same token 65 for two different symbolic linking strings 80. The parameter supplied with the constant pool 20 guarantees that two symbolic linking strings 80 in the constant pool 20 naming two different items will never be mapped to the same token 65 by the parameterized hash function.

The parameter for the constant pool 20 of the cap file 10 may be calculated by the cap file generator as follows. The cap file generator may check the symbolic linking strings 80 included in the constant pool 20 of the cap file 10 and varies a start parameter until it finds a parameter which satisfies the condition that the hash function to be used in the adapted JavaCard VM 55 maps all symbolic linking strings 80 on different tokens 65. To create a parameter for a JavaCard cap file 10, the cap file generator only needs to know the corresponding hash function used in the JavaCard VM 55.

With this concept dependent on the hash function used, it is possible to achieve a typical token size of 16 bits on an embedded microcontroller of a smart card.

An example for a hash function and its parameterization may be as follows:

For mapping of strings 80 to numbers, a possible hash function may be

$$F(\text{string}) = k(1) * c(1) + k(2) * c(2) + \dots + k(n) * c(n)$$

where  $c(i)$  is the character at the position  $i$  of the string to be mapped and the  $k(i)$  is a corresponding constant for this position.

In practice, however, only a limited number of different constants  $k(i)$  will be used for a hash function. For example, the constants  $c(k)$  with  $k > z$  may be mapped to the constants  $c(j)$  with  $j < z$  where  $j = k \bmod z$  in the hash function.



An example:

5         $z = 2, k(1) = 1, k(2) = 3, \text{string } 1 = \text{'abd'}, \text{string } 2 = \text{'aca'}$   
       $F(\text{string}1) = 1 * \text{'a'} + 3 * \text{'b'} + 1 * \text{'d'}$   
       $F(\text{string}2) = 1 * \text{'a'} + 3 * \text{'c'} + 1 * \text{'a'}$

10        Dependent on the number of different constants used, there may be strings 80 which  
      are mapped to the same numbers. If such a string 80 occurs, at least one of the  
      constants of the hash function may be varied by the cap file generator to avoid a  
      number clash. The varied constant may then be stored as a parameter together with  
      the strings 80 in the constant pool 20 of the cap file 10 to be used by the converter  
15        100 of JavaCard VM to correctly map the strings 80 in the constant pool 20 of the  
      cap file 10 to numbers.

20        In a preferred embodiment of the present invention, an adapted JavaCard virtual  
      machine 55 is ported on an embedded microcontroller of a smart card which  
      includes a converter 100 to map the standard Java symbolic linking strings 80  
      contained in downloaded cap files 10 on corresponding tokens 65 thus saving a  
      substantially amount of memory on the embedded microcontroller. Moreover, the  
      downloaded cap files 10 still contain the original Java symbolic linking strings 80  
      to avoid a pre-processing step of mapping these symbolic linking strings 80 to  
      tokens 65 which may be at fault.

25        Referring to Figure 3, in a preferred embodiment of the present invention, there is  
      provided a Java run-time system 15 comprising a stacked-based interpreter 55 for  
      executing a Java program 10 comprising Java bytecode instructions 20 and Java  
      class structures 30; a converter 100 for mapping standard Java symbolic linking  
30        strings 80 contained in a downloaded Java program 10 onto linking identifiers 65.  
      The system also comprises an export table 40 for storing linking identifiers 65  
      generated by the converter 100 to bind a reference in a bytecode instruction 20 to be  
      executed to a corresponding link target 90. The converter 100 may be adapted to  
      use a hash function to map the standard Java symbolic linking strings 80 onto  
35        linking identifiers 65. The converter 100 may be more specifically adapted to use a  
      parameterized hash function to map the standard Java symbolic linking strings 80  
      onto linking identifiers 65, the parameter being contained in the Java program 10 to

be downloaded. The Java run-time system may be conveniently ported on an embedded microcontroller of a smart card.

There is provided a Java development kit 25 comprising a Java run-time system 15 as shown in Figure 3 and a Java conversion system 35 for calculating a parameter for standard Java symbolic linking strings 80 of a Java program 10 to be downloaded on the Java run-time system.

Referring to Figure 5, in yet another preferred embodiment of the present invention, there is provided a method for downloading and linking a Java program on a Java run-time system comprising a stack-based interpreter 50 for executing bytecode instructions, said Java program 10 comprising Java bytecode instructions 20 and Java class structures 30 and including Java standard symbolic linking strings 80 to bind a reference in a bytecode instruction 20 to be executed to a corresponding link target 90. The method comprises, at step 110, mapping the Java standard symbolic linking strings 80 to linking identifiers 65; and, at step 120, storing said linking identifiers 65 in an export table 40. A hash function may be used to map the standard Java symbolic link strings 80 onto linking identifiers 65. More specifically, a parameterized hash function is used to map standard Java symbolic linking strings 80 onto linking identifiers 65, said parameter being included in the Java program 10 to be downloaded. The parameter for the Java program 10 to be downloaded may be used to ensure that the hash function does not map two symbolic linking strings 80 of Java program 10 to the same linking identifier. In yet another embodiment of the present invention, there is provided a computer program including program code means to carry out such a method in case the program is performed on a computer, preferably an embedded microcontroller of a smart card. Such a program may be stored, for example, on a computer readable storage device (such as a magnetic tape or disc ) or carrier for programs and data.

In the foregoing specification the invention has been described with reference to a preferred embodiment thereof. It will be, however, evident that various modification and changes can be made without departing from the broader scope of the invention as set forth in the appended claims. The specification and the drawings are accordingly regarded as illustrative rather than in a restrictive sense.